# **SPECIFICATION**

Electronic Version 1.2.8 Stylesheet Version 1.0

# CATHODE FOR HIGH EMISSION X-RAY TUBE

## **Background of Invention**

[0001] The present invention relates generally to x-ray tubes and, more particularly, to a cathode configuration therefor.

[0002] Presently available medical x-ray tubes typically include a cathode assembly having an emitter and a cup. The cathode assembly is oriented to face an x-ray tube anode, or target, which is typically a planar metal or composite structure. The space between the cathode and anode is evacuated.

[0003]

A disadvantage of typical cathode designs is that the emitter, which typically comprises a helically coiled tungsten wire filament, tends to be rather large and electrons are emitted radially outward from all surfaces of the filament surface. The cup, therefore, must be designed to produce a very tailored electric potential distribution in the vacuum such that all electron trajectories are redirected from their initial divergent motion toward a very small focal spot on the anode surface. This is commonly done by configuring a uniformly biased cathode cup having a carefully machined profile in close proximity to the filament(s) for passively shaping the electric field leading to the focal spot. For design purposes it is usually sufficient to treat the coiled filament as a solid emitting cylinder, and to neglect detail at the level of individual turns of the coil. It is also usually sufficient to be concerned only with the focal spot width, rather than its complete two-dimensional shape because the focal spot length can be more-or-less independently set by emitter-cup changes which do not strongly alter the width. However, even with this design freedom, it is difficult in practice to design a cup which produces such tailored electric fields and leads to a small focal spot width. The present state-of-the-art is represented by filament coils

of major diameter around 1 millimeter which can be focused onto a 0.1 millimeterwide focal spot on the anode, i.e., a beam compression ratio of 10.

[0004]

Recent developments in medical imaging, however, require larger electron beam currents and better electron beam optics than can be obtained with the technology mentioned above. One way to arrive at higher electron beam current densities in the focal spot is to start with a larger thermionic emitter area combined with a subsequently higher electron beam compression ratio (defined by the ratio of the focal spot area divided by the emissive area of the filament). A universal limitation of electron emitters is that the net emission current as measured between the cathode and anode cannot be increased without bound simply by increasing the primary emission current of the emitter. As used herein, primary emission denotes electrons leaving the emitter surface and does not include any electrons which return to the surface. More precisely, the net emission current density at the emitter is limited. Thermionic electron emission is limited to about  $4A/cm^2$ . The net emission current is the primary emission current less any electron current returning to the emitter surface. At very low primary emission current density, corresponding to low heating current and low emitter temperature for a thermionic emitter, the net emission current density will increase in nearly direct proportion to any increase in primary emission current density. Conversely, at very high primary emission current density, the electron density immediately in front of the emitter surface is so high that the selfcharge of the electron cloud completely counteracts the electric field at the emitter surface caused by the cathode-anode potential difference. This latter condition is referred to as a saturated emitter; further increases in primary current density do not appreciably increase the net emission current. Between these two extremes is a smooth transition where increases in primary emission current density lead to less than proportionate increases in net emission current, and practical x-ray tubes often operate in this transition regime. All electron emitters are limited by this fundamental

[0005]

A useful figure-of-merit for characterizing the overall capability of a cathode is its perveance, defined as the ratio 1/V , where I is the net electron current and V is the potential difference between the cathode and anode. Additionally, the self-charge of the electrons in the vacuum can alter the electric potential and can cause

process, independent of the emitter material and emission mechanism.

undesirable changes such as enlargement of the focal spot size, sometimes referred to as blooming. Thus, cathode designs which are capable of meeting design goals on net current and yet which operate far below their inherent saturation current density can be advantageous. Finally, there is ordinarily a tradeoff between the useful life of a thermionic emitter and its operating temperature such that it can be desirable to operate the emitter at a lower temperature, and hence a lower primary emission current density.

[0006]

A further disadvantage of typical cathode designs is that the cup design needed to properly focus the electrons results in a significant reduction in the saturation current of the cathode, and hence the maximum obtainable x-ray emission over that which would be expected if the filament were operated in free space apart from the cup. In particular, the aforementioned requirement that the initial, radially directed electron distribution from a helical coil filament be redirected onto the small focal spot leads one to place the filament emitter into a rather narrow slot. Unfortunately, this reduces the electric field normal to the front surface of the filament significantly below the average electric field present in the cathode-anode gap, which is on the order of V/L. Here, V is the electric potential between the cathode and anode, and L is the cathodeanode spacing. The electric field strength normal to the emitter surface, in the absence of any electron emission, determines the saturation current density of each point on the filament surface. Further, the electric field strength normal to the emitter surface is highest only on that portion of the filament which is closest to the anode; it decreases away from this one point; hence, the saturation current density decreases away from this one particular location. In principle, the emitting area may always be increased to obtain a higher total emission current, but as noted hereinabove, it is difficult to increase the filament size without also undesirably increasing the focal spot size.

[0007]

A further limitation of conventional filament-cup cathode designs is that it is quite difficult in practice to form anything resembling a laminar electron beam wherein the trajectories of electrons emitted from various locations on the filament do not cross each other as they move from the cathode to the anode. As a result, the spatial distribution of current density across the width of the focal spot on the anode surface is not the gaussian distribution which would lead to the best modulation transfer

function and hence the best image quality. Instead, the focal spot current distribution is typically double-peaked. The peak electron current density within the focal spot on the target is limited by the peak temperature capability of the anode. Therefore, to the extent that the actual peak current density exceeds that of an otherwise equivalent gaussian spatial distribution for a given anode design, the total current, and hence the maximum achievable x-ray fluence, will be reduced. It is not necessary that the electron flow be close to laminar in order to create the desirable gaussian spatial distribution of electron current, but the highly nonlaminar nature of the electron beam created by conventional filament-cup cathode designs makes the formation of a gaussian focal spot quite difficult in practice. Another limitation of conventional filament-cup cathode designs is that it is quite difficult in practice to change the focal spot size without the need to design a new cathode for different (e.g. large and small) focal spots.

[0008] An emitter-cup cathode which simultaneously provides higher emission current, smaller focal spot width, and better modulation transfer function has been heretofore unavailable. Accordingly, it is desirable to provide an emitter-cup x-ray tube cathode which overcomes the hereinabove described disadvantages. The importance of improved emission capabilities combined with the ability to focus higher beam currents into smaller and variably sized focal spots is clearly driven by the need to improve the image quality of the medical imaging system using current thermionic emission technology.

# Summary of Invention

[0009]

A method and apparatus for an x-ray tube having an emitter and a differentially biased emitter-cup cathode configured to provide an electron beam of substantially greater perveance and beam compression ratio than otherwise obtainable with conventional cathode designs is disclosed. In one embodiment, a method for operating an X-ray source includes emitting an electron beam along a beam path from a cathode; producing a dipole field with a differentially biased cathode and interacting the electron beam with the dipole field and the differential bias to focus and deflect the electron beam onto a focal spot on an anode to cause X-rays to be emitted from the anode. The dipole field is modified with a means for changing the differential bias

to shape the electron beam on the anode to effect the focal spot size to produce a predetermined electron beam compression ratio.

In another embodiment, a cathode for x-ray tube is disclosed. The cathode includes a cathode assembly opposing an anode and spaced apart therefrom. The cathode is maintained during operation of the x-ray tube at a negative potential with respect to the anode. The cathode assembly includes an emitter for emitting an electron beam to a focal spot on the anode during operation of the x-ray tube and a cathode front member having an aperture defined by the cathode front member on a first side of the emitter. A backing is disposed on a second side of the emitter and is operably connected to the cathode front member via a backing insulator. The cathode assembly further includes a means for applying a differential bias in the cathode to variably change the focal spot size. The cathode backing is biased at Vbacking, the aperture of the cathode front member is independently biased at Vaperture and the emitter is biased at Vemitter, and Vback < Vemitter provides for a larger beam compression ratio than when Vback ≥ Vemitter.

### **Brief Description of Drawings**

- [0011] FIG. 1 is a perspective view of a conventional x-ray tube cathode design;
- [0012] FIG. 2 is a cross sectional view of the x-ray tube of FIG. 1;
- [0013] FIG. 3 graphically illustrates a focal spot profile showing the spatial distribution of electron current at the anode surface of a conventional x-ray tube such as that illustrated in FIGS. 1 and 2;
- [0014] FIG. 4 graphically illustrates a computer simulated focal spot profile for an x-ray tube constructed according to a preferred embodiment of the present invention;
- [0015] FIG. 5 is a schematic perspective view of an emitter-cup cathode according to a preferred embodiment of the present disclosure;
- [0016] FIG. 6 is a cross sectional view of the emitter-cup cathode of FIG. 5;
- [0017] FIG. 7 is a cross sectional view of an alternative exemplary embodiment of the emitter-cup cathode of FIG. 6; and

[0018] FIG. 8 graphically illustrates an electron beam spatial profile obtained from a computer simulation of an emitter-cup cathode such as those of FIGS. 5 and 6.

#### **Detailed Description**

- [0019] Figures 1 and 2 illustrate a conventional x-ray tube 10 including a cathode 12 having an emitter 14 and a cup 16. Cathode 12 is oriented to face an x-ray tube anode 18, or target, which is typically a planar metal or composite structure. For many applications wherein high x-ray flux is required, the anode itself is a disk which is rotated at a high speed (typically 1000 to 10,000 revolutions per minute) in order to keep the peak anode temperature in the focal spot to an acceptable value. The cathode assembly is typically held from 20 to 200 kilovolts negative with respect to the anode. The space, or air gap, between the cathode and anode is evacuated to improve the voltage standoff capability of the gap and reduce scattering by electronatom collisions. Emitter 14 is typically a helically coiled tungsten wire filament which is heated by passing an electric current of several amperes through the wire to a temperature sufficient for thermionic emission of electrons. Emitter 14 is set into cup 16. The potential difference between the cathode and anode accelerates the thermionically emitted electrons to the desired kinetic energy, and guides them to a suitable line focus on the anode, where x-rays are then generated by bremsstrahlung and other processes which are characteristic of the anode material. The shape of the cup is chosen so as to form the desired electron beam cross section as it impacts the anode, i.e., the focal spot size and shape. The electric potential in the vacuum may be altered further through the application of an electric potential, or bias, between the emitter and the cup. Practical cathode assemblies are designed to produce the best compromise between total emission current, focal spot line width, and other measures of performance.
- [0020] Figure 3 graphically illustrates the double-peaked focal spot current distribution typical of conventional filament-cup designs such as that illustrated in Figure 1. As explained hereinabove, this is the result of the highly nonlaminar nature of the electron beam created by such conventional filament-cup cathode designs which makes the formation of a gaussian focal spot current distribution quite difficult in practice.



In accordance with exemplary embodiments of the present disclosure, an emitter-cup cathode configuration is provided which produces an approximately flat focal spot current distribution. Figure 4 graphically illustrates such a desirable gaussian focal spot current distribution in a computer simulation using an exemplary embodiment of the present disclosure described below which would lead to a better modulation transfer function and hence the best image quality for x-ray imaging.

[0022] Figures 5 and 6 illustrate an emitter-cup x-ray tube cathode 22 in accordance with an exemplary embodiment of the present disclosure. Cathode 22 comprises an emitter 24 set into a cavity 26. In accordance with a preferred embodiment of the present disclosure (see Figure 6), emitter 24 is a coiled filament with at least one side of the filament having an approximately planar shape with an emitting area on the order of several square millimeters. "Approximately planar", as used herein, means a shape distinct from a coiled wire filament, but not necessarily flat. That is, the surface might have some curvature.

One advantage of an approximately planar emitter, as opposed to a conventional coiled filament, is that the electrons emitted from one face travel in roughly the same direction (normal to the face), whereas electrons emitted from a coil (or even a portion, e.g., one-half, of a coil) have little organized net collective motion. In both cases, however, the motion of the electrons is not entirely collective since there is a random component arising from the finite emitter temperature. With a coiled filament, shaping the electric potential so as to gather all the divergent electron trajectories into a small focal spot is quite difficult, whereas with an approximately flat emitter, the electron trajectories are already generally in the proper direction, and the electric potential need only perturb the trajectories to create the same focal spot.

Any suitable emitter material and mode of electron emission may be used with an emitter-cup cathode of the present disclosure. One example of a suitable emitter material is tungsten foil having a thickness in an exemplary range from one to several mils. Tungsten foil offers the advantages that it can be precisely shaped, patterned, and otherwise manipulated using suitable metal-forming techniques; and it can be heated resistively by passing electric current through the tungsten or by an indirect method so as to emit electrons by the thermionic mechanism.



[0025] In the embodiment of Figure 6, emitter 24 is shown as a generalized block with curved sides 27 and a generally planar front surface 28. The emitter block is set into cavity 26. The emitter faces a target surface which is held at some positive electric potential (V ) with respect to the emitter, typically 20–200 kilovolts for medical imaging applications, for example. Electrons produced by the emitter are accelerated by the potential difference and hit the anode 18, where both characteristic and braking x-radiation are produced.

In many conventional medical x-ray tubes, the anode is not an idealized point or line, or even the perforated anode of a practical electron gun; rather, it approximates a plane. For an approximately planar anode, the electric field lines are normal to the anode surface, instead of extending more-or-less radially outward from the desired focal spot, and the cathode will need to more strongly converge the electron trajectories than would be the case if the anode more closely approximated a point or line.

[0027] The embodiment of Figures 5 and 6 illustrates a cup configuration optimized for use in a line-focus, planar-anode x-ray tube. It comprises the following: emitter 24, an aperture 30 defined by a cathode front member 32. Aperture 30 in member 32 is at an electric potential (V ) for completing formation of an electron beam 34 aperture forming from emitter 24. Emitter 24 extends from a cathode backing 36 facing cathode front member 32 on the other side of emitter 24. Emitter 24 extends from cathode backing 36 via two electrodes 38 of emitter 24 having an insulator 40 around each to maintain emitter 24 at an electric potential (V  $\phantom{\Big|}$  ) isolated from cathode  $\phantom{\Big|}$  emitter backing 36 having an electric potential of (V  $_{\rm back}$  ). Cathode backing 36 is operably connected to cathode front member 32 while maintaining electrical isolation therebetween via a backing insulator 42. Although cathode backing 36 is shown having a planar surface, it will be understood by one skilled in the pertinent art that the backing may have another geometry. In addition, aperture 30 is not limited to a fixed slot and may include tabs (biased) that may be adjusted to limit the length profile of beam 34. The cathode assembly 22 is a differentially biased to produce a close approximation of the desirable laminar, homocentric, homogeneous electron beam.

- [0028] Differential bias refers to independently biasing the cathode front member 36 at aperture 30 (V aperture ), backing 36 (V back ), and emitter 24 (V emitter ) having a filament (V filament ) of the cathode (Figure 5) in an exemplary embodiment. In contrast to the passive shaping of the electric field in conventional cathodes, which is achieved by the geometrical shape of the cup around the filament(s), the independent biasing scheme allows active shaping of the electric field necessary to extract and accelerate electron beam 34. Therefore, independent biasing of the cathode cup components also allows continuous adjustment of the focal spot size over a range of focal spot sizes. For example, in vascular x-ray imaging tubes, this range could extend from 0.3mm to 1.0mm focal spots.
- [0029] One exemplary method to arrive at higher electron beam current densities in the focal spot is to start thermionic electron emission from a larger thermionic emitter area combined with a subsequently higher electron beam compression ratio (defined by the ratio of the focal spot area divided by the emissive area of the filament). The problem of limited emission in conventional cathodes is optimized by including a straight section into the coiled filament.
- [0030] Differential biasing (V back < V filament ) offers improved beam optics that allows a larger beam compression ratio. This is in part due to the flat geometry of the largest part of the emissive area. Secondly this is achieved by reduction of electron emission from the curved parts of the filament through the presence of differentially negative potentials close to the filament surface (i.e., V back ). In an exemplary embodiment, this differentially negative voltage is less than about 10kV while the beam potential is between about 80 to about 120 kV.

[0031]

Further improvement of the beam optics may be achieved by optimizing the filament geometry, e.g. by replacing the straight section with a convex section. It is also contemplated to further improve the differentially biased cathode by the straight filament as viewed in length direction with a convexly shaped filament in length directions. This would allow an even higher compression ratio. Compared to a conventional cathode, the coil diameter in an exemplary embodiment is larger using a variable differentially biased cathode by actively shaping the electron beam formation using independent biasing the front (V aperture) and the back (V back) of the

cathode assembly near the filament emitter 24. As a consequence, the wire diameter of the filament can be increased. It will be recognized by one skilled in the pertinent art that a larger wire diameter increases filament life if the filament is operated at the same relative temperature.

- [0032] By way of illustration and referring to Figure 7, the various portions of the emitter-cup can be viewed as performing independent manipulations of the electron trajectories. The planar shape of emitting surface 28 ensures that the initial electron motion is toward the focal spot, i.e., to the extent that can be achieved with the initial thermal distribution of electron velocities. V back at cathode backing 36 shapes the electric potential along the edges of the electron beam. V aperture at aperture 30 is used to perform the final beam manipulation on the medium- energy electron beam. Beyond the aperture, the electron momentum is sufficiently high that further guidance is neither necessary nor particularly productive, and the electrons are accelerated by the remaining cathode-anode potential difference until they reach the focal spot.
- [0033] Advantageously, the embodiment of FIGS. 5 and 6 results in a small focal spot width for an emitter having a given width, or more generally, a given surface area, thus resulting in a high beam compression ratio without sacrificing emission current. In the prior art, the cathode cup is negatively biased relative to filament and therefore reduces perveance. An exemplary differentially biased cathode disclosed herein does not change perveance to first order, i.e. Vaperture and Vback remain approximately constant while focusing is done by changing Vback.
- [0034] Referring now to Figure 7, an alternative exemplary embodiment is illustrated having a second electrode 52 inserted between aperture 32 and backing 36 electrodes. It is contemplated that multiple electrodes/apertures may be inserted between the front electrode (i.e., aperture 32) and the backing 36 to increase flexibility for shaping the electric field. For example, two or more apertures may be inserted between front and back electrodes 32, 36. For the sake of manufacturing, however, it is desirable to limit the electrodes to a minimum (i.e. two electrodes, aperture 32 and backing 36).
- [0035] Figure 8 illustrates electron beam 34 formation and electron beam profile obtained from an emitter-cup cathode such as that of Figures 5 and 6. Figure 8 is a

App ID=10064606

computer simulation for a differentially biased cathode displayed in cross section at the center of cathode assembly 22. The focusing of the beam width is shown. In the length direction the filament is assumed to be straight for the purpose of the simulation. The electron beam is focused into a 0.5 mm focal spot. The simulation starts with a geometric definition of the cathode-anode geometry which can be approximated as a two- dimensional cross section like that shown in Figure 6 to simulate a line focus for the physical reasons described hereinabove. (Alternatively, cylindrical symmetry can be assumed in order to simulate a design intended to produce a point focus.) The cathode and anode surfaces are assumed to be perfect conductors at specified electric potentials. More specifically, V  $_{\mbox{\scriptsize back}}$  is (-4.2kV), V is (O V), and V (i.e., V aperture ) is (0 V), and V is (80kV). The intervening space is discretized, and the electric potential in this region is determined by a second-order finite element method. Pseudoelectrons, each representing a large number of real electrons, are launched from each elemental area of the emitting surface with a distribution of initial direction and energy so as to mimic the thermal distribution of emitted electrons. The pseudoelectron trajectories are integrated until they intersect a metal surface, usually the anode. An iterative procedure follows, where the electron self-charge in each element of the discretized mesh is determined from knowledge of the pseudoelectron trajectories; then electric potential is recalculated. This iteration continues until a preset convergence criterion is reached. Once converged, the spatial distribution of the electron current at the focal spot can be reconstructed from the pseudoelectron trajectories. This simulation procedure has the usual practical advantages over actual fabrication of design test vehicles, and it is quantitatively accurate both because all important physical properties are known, and because the solution of the electric potential and pseudoelectron trajectories can be made arbitrarily accurate by well-known procedures.

[0036] A cathode according to the present invention may be advantageously refined further to meet requirements of image protocols which demand more than one net current and focal spot size. Still further, such a cathode may be designed to produce a relatively small focal spot width for low beam currents and to produce a larger focal spot for higher tube currents, thereby managing the peak thermal stress on the target.

[0037]

Several additional advantages of the differentially biased emitter-cup cathode configuration of the present disclosure have been identified as follows. The anode itself need not be solid, but can be perforated to allow the electron beam to be further manipulated and utilized. Higher net current is possible because the emitting area, saturation current, and perveance of this new emitter-cup cathode configuration are all significantly higher than can be achieved with conventional designs. The smallspot mode is possible from the same large emitter because, compared with conventional designs, this invention can achieve significantly higher beam compression ratios. A significant advantage of using one emitter rather than two, beyond the reduction in mechanical complexity, is that the focal spots produced in the two operating modes are centered at the same physical location on the anode; that is, the focal spots are coincident. Good coincidence is required for certain medical imaging protocols, and a single emitter design avoids the potential for misalignment in a two-filament cathode design. A further operational advantage can be achieved by this design because, in practice, the focal spot size in the high-brightness mode is usually larger than the focal spot size in the low brightness mode in order to accommodate the thermal limitations of the anode surface. This variable focal spot size can be achieved straightforwardly in the present disclosure by allowing focal spot blooming to occur in a controllable manner by altering the independent biases in the cathode assembly. More than 2-3 times the emission of prior art coiled filament cathodes is possible with a differentially biased cathode assembly. Furthermore, image quality tradeoff optimization is possible through infinitely adjustable focal spot size. In addition, there is no additional cathode features needed for gridding. Gridding is accomplished with  $V_{\text{filament}} > V_{\text{aperture}}$  , i.e., when biasing is reversed. The present disclosure also allows more robust filaments (larger wire diameter), and thus extended filament life. All well known technology is used with less electrical connections needed for a differentially biased cathode than with a conventional cathode tube. The present disclosure offers a simple mechanical design with less precision needed than prior art cathodes for filament set height and centering and provides a lower cost cathode compared to prior art cathodes used in vascular, angio, and CT applications.

[0038]

While the preferred embodiments of the present invention have been shown and

described herein, it will be obvious that such embodiments are provided by way of example only. Numerous variations, changes and substitutions will occur to those of skill in the art without departing from the invention herein. Accordingly, it is intended that the invention be limited only by the spirit and scope of the appended claims.

App\_ID=10064606 Page 13 of 26